



RECEIVED
OCT 23 2003
Group 3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Gary A. Zess et al.

Docket No.: EH-10771

Serial No.: 10/065,484

Group Art Unit: not yet assigned

Filed: October 23, 2002

Examiner: not yet assigned

Title: Flow Directing Device

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

LD
10-24-03
#7

INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

- ☒ 37 C.F.R. 1.97(b) - This information disclosure statement is filed within three months of the filing date of a national application (other than a CPA under 37 C.F.R. 1.53(d)), within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 C.F.R. 1.114, whichever event occurs last. No certification or fees are required.
- ☐ 37 C.F.R. 1.97(c) - This information disclosure statement is filed before the mailing date of a final action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. Box (1) or (2) under **CERTIFICATION AND FEE** has been marked accordingly.
- ☐ 37 C.F.R. 1.97(d) - This information disclosure statement is filed after the period specified in 37 C.F.R. 1.97(c), but on or before payment of the issue fee. Box (1) and (2) under **CERTIFICATION AND FEE** have been marked accordingly.

CERTIFICATION AND FEE

(1) ☐ 37 C.F.R. 1.97(e) Certification – Either:

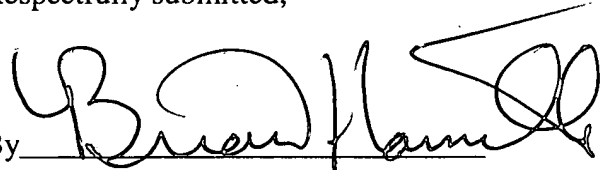
☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.

(2) ☐ The \$180.00 fee in accordance with 37 C.F.R. 1.17(p) is due.

Please charge the required fee (if any) to Deposit Account No. 21-0279, Order No. EH-10771 (a duplicate copy hereof is enclosed).

Respectfully submitted,

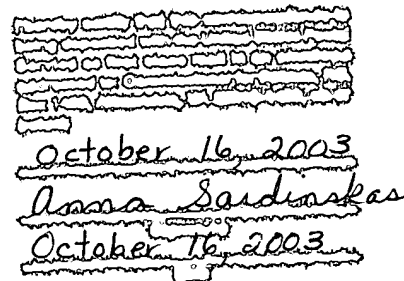


By Brian J. Hamilla

Registration No. 38,482

Pratt & Whitney, Patent Department
400 Main Street
Mail Stop 132-13
East Hartford, CT 06108
860.557.1089

Date: October 14, 2003


October 16, 2003
Anna Sardinakos
October 16, 2003